# **Studies Using Large Datasets:** How to obtain the data and use them well

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Assistant Professor of Medicine, Cardiology Director HF Clinical Research Advanced Heart Failure and Cardiac Transplantation DOM Research Curriculum



# Outline

- ) Why should I use data that already exist?
- 2) What are the types of data sources out there?
  - Discussions of data I have personally used and analyzed (NIH BioLINCC, National Data/Registries, Clinical Trial Data)
    - How to choose an appropriate research project or question
    - How to obtain the data
    - What to expect following data access through publication of results
- 4) Conclusions/Questions



# 1. Why should I use data that already exist?



#### TIME:

As residents you don't have much of it, prospective work can take years and and you should be focusing on your training



## MONEY:

Clinical research is expensive as is statistical support



#### SAMPLE SIZE: Temple may not have enough patients with condition "X" for extensive

analyses



## 1. Are there disadvantages to using data that already exist?

- If the data were not designed to answer your specific question, your results are likely to be "hypothesis-generating"
- A specific variable may not be captured in the manner you require
  - Ex. You are interested in renal dysfunction after transplant. You need creatinine to calculate eGFR but only variable recorded is Cr>2.0, yes/no

The data collection is finished. Missing data are often an issue and you cannot "go back"

The more people who have access, the greater likelihood someone else may "scoop" you



# 2. What types of data are available?



#### NIH Data and Specimens:

To "increase the return from NIH outcomes and population research" Publicly available specimens and data from studies and trials



CMS.gov

#### Claims Data - National Inpatient Sample:

https://www.hcup-us.ahrq.gov/db/nation/nis/nisdbdocumentation.jsp

Largest all-payer inpatient care database in the US. 7 million hospital stays. Costs about \$650

#### Claims Data – CMS:

https://www.cms.gov/Research-Statistics-Data-and-Systems/Files-for-Order/LimitedDataSets/StandardAnalyticalFiles.html Medicare baseline data and follow up data. Costs about \$250 per file per year



#### National Data around a Procedure or Condition:

https://www.sts.org/registries-research-center/sts-research-center/access-publications https://optn.transplant.hrsa.gov/data/request-data/

https://www.ishlt.org/registries/international-registry-for-mechanically-assisted-c

These data are available. Some are free, some cost money, some require an official application with a project idea. Highly variable

#### MOMENTUM 3



The MCMENTUM 3 U.S. DE Clinical line is prospective, multi-center, unblinding rendemized study comparing the KeartMans 3 Left Verminubal Assist System (LVAS) to the HeartMate II UMS in refranced stuge heart fullure patients

#### **Sponsored Clinical Trial Data for Secondary Analyses:**

Clinical trials are expensive, so many trials have publication committees and applications for secondary analyses. Here you DON'T often get raw data



# 3. Data that I have used and published

- An overview of the data source
- 2) How to formulate an appropriate research question for the particular data source
- 3) How to obtain the data, what is involved, application etc.
- 4) My own personal example and potential impact of using the described dataset

**Caveat:** These are my own personal experiences and words of advice This is in no way a comprehensive assessment of all that is possible The data I chose to work with were appropriate for my research interests and may not be appropriate for yours

# NIH/NHLBI:BioLINCC-Overview of data



#### The BioLINCC Handbook

A Guide to the NHLBI Biologic Specimen and Data Repositories

https://biolincc.nhlbi.nih.gov



https://biolincc.nhlbi.nih.gov/studies/

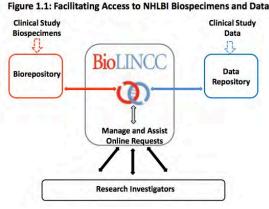
BioLINCC\_Handbook\_V3.5\_20170510

NHLBI has a policy for data sharing of funded studies that are large or expensive

Data must be shared 3 years after the end of the study or 2 years after the main trial paper has been published

 Data is submitted to the program official and then deidentified

- Data often includes baseline data, visits, outcomes data and laboratory information
- Some studies also have biospecimens



# **NIH/NHLBI:BioLINCC-Research Question**

https://www.hfnetwork.org/

 $\diamond$  Know the data and what has been published

# ♦ Look at the study info on the **BioLINCC** website

Heart Failure Network (HFN) Diuretic Optimization Strategies Evaluation in Acute Heart Failure (DOSE AHF)

Collection Type Study Period Study Type Clinical Trial Open BioLINCC Study February 2008 - February 2010 See bottom of this webpage for request information **NHLBI Division Date Prepared** Last Updated April 24, 2014 April 24, 2014 **Clinical Trial URLs** Primary Publication URLs Study Website

Consent

DCVS

Commercial Use Data Restrictions No

https://clinicaltrials.gov/ct2/s...

Data Restrictions Based On Area Of Research No

#### Objectives

The DOSE study sought to evaluate the most effective dosing (high vs. low) and administration (continuous infusion vs. intermittent boluses) combination of the diuretic Furosemide in the treatment of patients with acute decompensated heart failure.

https://www.ncbi.nlm.nih.gov/pub...

Relief and Recurrence of Congestion During and After Hospitalization for Acute Heart Failure: Insights From Diuretic Optimization Strategy Evaluation in Acute Decompensated Heart Failure (DOSE-AHF) and Cardiorenal Rescue Study in Acute Decompensated Heart Failure (CARESS-HF).

Lala A, McNulty SE, Mentz RJ, Dunlay SM, Vader JM, AbouEzzeddine OF, DeVore AD, Khazanie P, Redfield MM, Goldsmith SR, Bart BA, Anstrom KJ, Felker GM, Hernandez AF, Stevenson LW. TEN DOLE HUNGANTAL D. MAN 40 4464/01000/EADTEAU UDE 444 004057 Court 2045

Effect of admission oral diuretic dose on response to continuous versus bolus intravenous 10. diuretics in acute heart failure: an analysis from diuretic optimization strategies in acute heart failure.

Shah RV, McNulty S, O'Connor CM, Felker GM, Braunwald E, Givertz MM. Am Heart J. 2012 Dec;164(6):862-8. doi: 10.1016/j.ahj.2012.08.019. Epub 2012 Oct 29. PMID: 23194486 Free PMC Article

**Request Data** 

**Resources Available** Study Datasets Only

Study Publications (7)

Study Documents Data Dictionary (PDF - 244.3 KB) Forms (PDF - 5.0 MB) Protocol (PDF - 902.3 KB)

Persons using assistive technology may not be able to fully access information in the study documents, For assistance, Contact BioLINCC and include the web address and/or publication title in your message. If you need help accessing information in different file formats such as PDF. XLS, DOC, see Instructions for Downloading Viewers and Players.

Examine the data dictionaries

Examine the protocol

Formulate your research question



# **NIH/NHLBI: How to get the data**

#### Heart Failure Network (HFN) Diuretic Optimization Strategies Evaluation in Acute Heart Failure (DOSE AHF)

Study Period **Collection Type** Open BioLINCC Study February 2008 - February 2010 See bottom of this webpage for request information NHL BI Division **Date Prepared** Last Updated April 24, 2014 April 24, 2014 **Clinical Trial URLs Primary Publication URLs** Study Website https://clinicaltrials.gov/ct2/s... https://www.ncbi.nlm.nih.gov/pub... https://www.hfnetwork.org/ @ Commercial Use Data Restrictions No Data Restrictions Based On Area Of Research No

#### Objectives

Study Type

**Clinical Trial** 

DCVS

Consent

The DOSE study sought to evaluate the most effective dosing (high vs. low) and administration (continuous infusion vs. intermittent boluses) combination of the diuretic Furosemide in the treatment of patients with acute decompensated heart failure.

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♦ You must create a profile and account with BioLINCC  $\diamond$  If you press the request data button, it will prompt you, but you can also request multiple datasets



# NIH/NHLBI: How to get the data

Number of years in scientific research	
· 0-5	
5-10	
0 10+	
The second s	
No No	
Primary Funding Source for this Research*	
Conditional Condition of the Westman and the set of the Second Second	
Extramural Award Type	
	•
Other Funding Type / Other Extramural Award Type	
NIH FOA #	
Other Funding Comments	
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nequest Details	
Study*	
(BEST) Beta-Blocker Evaluation in Survival Trial (BEST)	
(DIG) Digitalis Investigation Group (DIG) TESCAPE) Evaluation Study of Congestive Heart Failure and Pulmonary Artery Catheterization Effectiveness (ESCAPE)	
(HFN-CARRESS) Heart Fallure Network (HFN) CARdiorenal REScue Study in Acute Decompensated Heart Failure (CARRESS)	
(HFN-DOSE AHF) Heart Failure Network (HFN) Diuretic Optimization Strategies Evaluation in Acute Heart Failure (DOSE AHF) Resources from multiple studies may be requested via one request form using the above drop down menu.	TEMPLE UNIVERSITY*
	Approximately how many years has the lead investigator been involved in scientific research?   Is funding currently available for this research?*   Ives   No   Primary Funding Source for this Research*   Institutional/Departmental   If funding is not yet available, piesae indicate anticipated primary lunding source.   Extramural Award Type   Interfunding Type / Other Extramural Award Type   Interfunding Comments   Protect Punding Comments   State Punding Comments   Protect Details   Stady*   [Staty Tights Investigation for the State State (FIP) (Patient Interfunding Comments   Interfunding Comments   [Staty Tights Investigation for the State State (FIP) [State Tights Investigation for the State State State Compension of the State

# NIH/NHLBI: How to get the data

#### Describe this request\*

In collaboration with Dr. Jeffrey Testani, I have worked extensively with NHLBI limited datasets both at UPENN and the Medical University of South Carolina. I recently took a new faculty position at Temple University and I would like to obtain access to these datasets in order to continue my cardiorenal research

#### A brief overview of your research needs.

#### Design and analysis plan\*

Studies will focus on studying cardiorenal interactions including predictors of cardiorenal dysfunction, differentiation of the cardiorenal syndrome and effect modifiers of cardiorenal interactions and their relationship with mortality.

Include the participant inclusion/exclusion criteria, expected study visit periods, key analytic variables, primary and secondary outcome measure, follow-up period (if applicable), and intended statistical methods. Inadequately described requests may be deferred until a complete description is included.

#### Will the results be used for a commercial purpose?\*

#### Yes

- No the results will not be used for a commercial purpose.
- A "Yes" response defines this as a "Commercial Purpose" request.

#### Information Security: Please check the information security practices to be used\*

- Institute supported, controlled access server
- Institute supported, password protected desktop computer
- Encrypted, password protected laptop computer
- Encrypted portable media (encrypted external hard drive, encrypted thumb drive)
- Unencrypted portable media backup (CD, DVD, thumb drive) stored in locked file cabinet
- Private (institutional) or commercial encrypted cloud

Study data must be maintained in a secure and controlled environment

#### "Cardiorenal Investigations in Publicly Available Datasets" PI: Meredith A. Brisco-Bacik, MD MSCE

**Objective:** The overall objective of this research plan is to better define cardiorenal phenotypes and their prognostic importance in heart failure (HF).

Background: Renal dysfunction is common in heart failure and identifies high-risk patients. However, multiple different mechanisms capable of initiating a reduction in glomerular filtration rate (GFR) exist in heart failure. For example, renal dysfunction can result from neurohormonal activation and venous congestion secondary to the heart failure itself. Alternatively, comorbid conditions like diabetes and hypertension, which are highly prevalent in heart failure, can also decrease GFR. Although the primary mechanisms underlying HF-induced renal dysfunction (the cardiorenal syndrome) vs. co-incident primary renal dysfunction differ, the reduction in GFR can be identical and indistinguishable. Differentiation of these different causes of renal dysfunction could alter therapeutic strategies. Unfortunately, no methodology currently exists to distinguish cardiorenal syndrome from primary renal dysfunction unrelated to the heart failure itself. The requested clinical trials contain measures of renal dysfunction, neurohormonal activation, venous congestion as well as detailed clinical outcomes, including mortality. They therefore provide the pertinent information required to complete the objective of this proposal.

#### Analysis plan:

Although the exposures and outcomes examined will differ depending on which clinical trial dataset is used, in general, we will focus on the following exposures and outcomes of interest:

Exposures: Blood urea nitrogen to creatinine ratio, diuretic efficiency, diuretic dose, proteinuria, change in proteinuria, hepatic congestion, hemoconcentration, timing of hemoconcentration, neurohormones, GFR and renal dysfunction

<u>Outcomes</u>: Mortality (all-cause), CV mortality, hospitalization for heart failure, worsening in renal function, improvement in renal function

<u>Covariates</u>: Demographics, medications, physical exam, laboratory data, invasive hemodynamics when available, imaging (ejection fraction on echocardiography) etc.

Statistical Analysis (depending on the outcome): Descriptive statistics, Student's t-test, Mann-Whitney U test, oh-square, correlation coefficients, logistic regression, linear regression, Coxproportional hazards survival analysis

#### You upload this in addition



# **NIH/NHLBI: IRB approval**

#### ✤ You need to obtain IRB approval

- As data is already de-identified BY THE NIH, this research is exempt or not even "research"
- STILL, you need a letter from our IRB stating such to upload to the NIH
- Call our IRB to expedite



Research Administration

Research Integrity & Compliance Student Faculty Center 3340 N. Broad Street, Suite 304 Philadelphia PA 19140 Institutional Review Board Phone: (215) 707-3390 Fax: (215) 707-9100 e-mail: irb@temple.edu

Date: 05-Jan-2017

 PI:
 BRISCO, MEREDITH A.

 Committee:
 A1

 Protocol Number:
 24194

 Project Title:
 Cardiorenal Investigations in Publicly Available Datasets.

Not Human Subject Research Determination The proposed activity is not research involving human subjects as defined by DHHS or FDA regulations. Consequently, Temple IRB review and approval is not applicable. You are welcome to pursue the activity, obtaining any applicable administrative or departmental (non-IRB) approvals. This determination applies only to the activities described in this IRB submission and does not apply should any changes be made. Changes could affect this determination, therefore please contact the IRB for guidance. DHHS Definitions: Research - a systematic investigation, including research development, testing and evaluation, designed to develop or contribute to generalizable knowledge. Human subject - a living individual about whom an investigator (whether professional or student) conducting research obtains: (1) Data through intervention or interaction with the individual; or (2) Identifiable private information. FDA Definitions: Research - any experiment that involves a test article and one or more human subjects, and that either must meet the requirements for prior submission to the Food and Drug Administration Human subject - an individual who is or becomes a participant in research, either as a recipient of the test article or as a control. A subject may be either a healthy individual or a patient.

Please contact the IRB at (215) 707-3390 if you have any questions.



# **BioLINCC: Get the RMDA signed**

Budget

#### Signatures Page

By submission of the RMDA, the RECIPIENT and PI attest to the APPROVED USERS qualifications for access to and use of STUDY Research Materials and certify their Agreement to the NHLBI principles, policies, and procedures for the use of Research Materials as articulated in this document.

This Agreement is entered into as oil: Sept. 17, 2018 (effective date)

Name of RECIPIENT Institution: Temple University School of Medicine				
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Name and Title of RECIPIENT's Authorized institutional Business Offici	Jaison G. K	urichi	Associate Vice	e President for
Signature and Date of RECIPIENT's Authorized Institutional Business (	Hist Jo	ison G. Ew	idui	
5-Mail address of Authorized Institutional Business Official:				
BY PRINCIPAL INVESTIGATOR:				
Name: Moredith A. Brisco-Bacik, MD, MSCE				
mer. Assistant Professor of M	ledicine	2		
Surface Mai Address 3500 N - BVDad St. MI	RB1057	, Philade	iphia P.A	19140
B-Mail Address: meredikh briscolijkuns.temple.edu				
Telephone Number: 215 910 2950			_	
Fax Number:			_	
BY NHLBI Authorized Representative:				
Name and Title:				
Signature and Date:			_	
"Authorized Institutional Business/Signing Official" is an inc	ividual with the auth	ority to enter into i	ousiness transaction	s on behalf of th

The RMDA is a Research Materials Distribution Agreement generated on the website

It must be signed by the business official here at Temple

You upload this to the site and often this is the ratelimiting step



# **BioLINCC: From Data download to publication**

data 📃	Request Status	Requestor (Institution)	Currently Requested Studies	Assigned To
🔻 📃 analysis	Fulfilled	Meredith A. Brisco-Bacik (Temple	BEST, DIG, ESCAPE, HFN-CARRESS	BioLINCC and Requestor
a_base.sas7bdat		University School of Medicine)	, HFN-DOSE AHF, HFN-ROSE, PEACE	DioLinoo una ricquestor
a_endpts.sas7bdat			SCD-HeFT, SOLVD	
a_visitsumm.sas7bdat	-			
🔻 📃 sasdata	Date Requested	Last Modified	Related Requests	
adverse.sas7bdat	March 01, 2018	September 24, 2018	N/A	
assessmt.sas7bdat				
dailydiu.sas7bdat	Dataset Download Links			
deathpag.sas7bdat		unction (SOLVD) default (ZIP - 53.6 MB)		
discharg.sas7bdat		Failure Trial (SCD-HeFT) default (ZIP - 25.3 MB)		
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fluid.sas7bdat	Digitalis Investigation Group (D			
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medhist1.sas7bdat				
medhist2.sas7bdat				
meds.sas7bdat	Actual	Data Files:		
procedur.sas7bdat				
rehosptl.sas7bdat	These a	re SAS data files. If	f vou don't work in	SAS you will ne
safety.sas7bdat				
sdadmin.sas7bdat	downloa	d and convert the d	ata to SPSS or SI	
status.sas7bdat				
subjsymp.sas7bdat	https://st	tattransfer.com/over	view/	
term.sas7bdat	111100.1100			
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vasoinfu.sas7bdat		Data Diationamy	Eta	
		Data Dictionary		
Data_description.pdf			and the state of t	1 1 1

Data dictionary.pdf

HFN\_DOSE\_Analysis\_Codebook.pdf

HFN\_DOSE\_Baseline\_Key\_Variables.pdf

- HFN\_DOSE\_crf.pdf
- HFN DOSE protocol.pdf

These files explain your data, the variables etc. You will want to READ these carefully as you explore the data



to

# NOW WHAT?

Learn your data Perform your analyses Write your manuscript

<u>Important Notes</u>: Once you have the data, you are on your own You do not need permission to submit a manuscript or abstract No one from the NIH is checking your results

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**Clinical Investigation** 

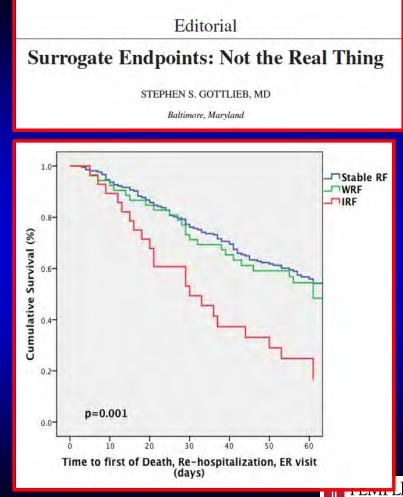
Relevance of Changes in Serum Creatinine During a Heart Failure Trial of Decongestive Strategies: Insights From the DOSE Trial

MEREDITH A. BRISCO, MD, MSCE,<sup>1</sup> MICHAEL R. ZILE, MD,<sup>2</sup> JENNIFER S. HANBERG, BA,<sup>3</sup> F. PERRY WILSON, MD, MSCE,<sup>3,4</sup> CHIRAG R. PARIKH, MD, PhD,<sup>3,4</sup> STEVEN G. COCA, DO, MS,<sup>3</sup> W.H. WILSON TANG, MD,<sup>6</sup> AND JEFFREY M. TESTANI, MD, MTR<sup>3,4</sup>

Philadelphia, Pennsylvania; Charleston, South Carolina; New Haven, Connecticut; New York, New York; and Cleveland, Ohio

- 301 patients with 2 or more serum Cr available
- WRF and IRF defined using 0.3 mg/dl change
- 18% experienced WRF and 9.1% experienced IRF at 72 hours
- Over 60 days of follow up, 139 patients (45%) had composite outcome of death, rehospitalization or ER visit

Increasing creatinine = Better Outcomes HR=0.81 per 0.3 mg/dl <u>increase</u>, p=0.026



# What are some other examples of impact?



The risk of death associated with proteinuria in heart failure is restricted to patients with an elevated blood urea nitrogen to creatinine ratio

Meredith A. Brisco<sup>4,1</sup>, Michael R. Zile<sup>b,2</sup>, Jozine M. ter Maaten<sup>c,2</sup>, Jennifer S. Hanberg<sup>4,2</sup>, F. Perry Wilson<sup>4,2</sup>, Chirag Parikh<sup>4,2</sup>, Jeffrey M. Testani<sup>d,\*,1</sup>

#### Influence of Age-Related Versus Non-Age-Related Renal Dysfunction on Survival in Patients With Left Ventricular Dysfunction

Jeffrey M. Testani, MD, MTR<sup>a,b,e</sup>, Meredith A. Brisco, MD, MSCE<sup>c</sup>, Gang Han, PhD<sup>b</sup>, Olga Laur, BS<sup>a,b</sup>, Alexander J. Kula, BS<sup>a,b</sup>, Susan J. Cheng, MD<sup>a,b</sup>, Wai Hong Wilson Tang, MD<sup>d</sup>, and Chirag R. Parikh, MD, PhD<sup>a,b,e</sup>

#### Circulation

#### **ORIGINAL RESEARCH ARTICLE**

Worsening Renal Function in Patients With Acute Heart Failure Undergoing Aggressive Diuresis Is Not Associated With Tubular Injury

#### Evidence of Mild Liver Dysfunction Identifies Stable Heart Failure Outpatients with Reversible Renal Dysfunction

Meredith A. Brisco<sup>a</sup> Susan J. Cheng<sup>c</sup> Olga Laur<sup>c</sup> Alexander J. Kula<sup>c</sup> Jeffrey M. Testani<sup>b, c</sup>

JOURNAL OF THE AMERICAN COLLEGE OF CARDIOLOGY © 20% BY THE AMERICAN COLLEGE OF CARDIOLOGY FOUNT PUBLISHED BY ELSEVIER



#### ORIGINAL INVESTIGATIONS

#### Reduced Cardiac Index Is Not the Dominant Driver of Renal Dysfunction in Heart Failure

Jennfer S. Hanberg, B.A., Kinšma Sury, MD.<sup>5</sup> F. Peny Wilson, MD, MSCE,<sup>44</sup> Meredith A. Brisco, MD, MSCE,<sup>4</sup> Tanja Ahmad, MD, MPH<sup>3</sup>, Jozine M. ter Maaten, MD.<sup>4</sup> J. Samuel Broughton, BS,<sup>5</sup> Mahlet Assefa, BS,<sup>4</sup> W.H. Wilson Tang, MD,<sup>7</sup> Ching, R. Parikh, MD, MO<sub>1</sub><sup>44,1</sup> Jeff W. Testani, MD, MTR<sup>4,4</sup>

All of these manuscripts and more originated from BioLINCC data. Some of the studies are 10-20 years old.

# **UNOS STAR Files: Overview of data**

- UNOS makes Standard Transplant Analysis and Research (STAR) Files available for each organ transplanted in the US
- Each file contains information on all waiting list registrations and transplants since October 1, 1987 with a 2 month lag.
- These files are deidentified without hospital identifiers
- There is one record per annual follow up per patient which amounts to extremely large datasets





# **UNOS: Choosing a Research Question**

- Know the data and what has been published
- Examine what and how data is collected

https://transplantpro.org/technology/data-collection-forms/

The Primary Outcome Definition is IMPORTANT

#### Ex. The issue of graft failure in the UNOS data....

**Clinical Information : POST TRANSPLANT** 

Graft Status:\*

Functioning Failed

If death is indicated for the recipient, and the death was a result of some other factor unrelated to graft failure, select Functioning.

Date of Graft Failure:

- Heart Transplant Survival Based on Recipient and Donor Risk Scoring: A UNOS Database Analysis. Trivedi JR, Cheng A, Ising M, Lenneman A, Birks E, Slaughter MS. ASAIO J. 2016 May-Jun 62/3):297-301. doi: 10.1097/MAT.00000000000337. PMID: 26771395 Similar articles Isolated heart transplant and combined heart-liver transplant in adult congenital heart disease patients: Insights from the united network of organ sharing. Bradley EA, Pinyoluksana KO, Moore-Clingenpeel M, Miao Y, Daniels C. Int J Cardiol. 2017 Feb 1:228:790-795. doi: 10.1016/j.ijcard.2016.11.121. Epub 2016 Nov 10. PMID: 27888768 Similar articles Pre-orthotopic heart transplant estimated glomerular filtration rate predicts post-transplant mortality and renal outcomes: An analysis of the UNOS database Habib PJ, Patel PC, Hodge D, Chimato N, Yip DS, Hosenpud JD, Wadei HM. J Heart Lung Transplant, 2016 Dec:35(12):1471-1479. doi: 10.1016/j.healun.2016.05.028. Epub 2016 Jun 7. PMID: 27425400 Similar articles Heart transplantation in children with intellectual disability: An analysis of the UNOS database Goel AN, Ivengar A, Schowengerdt K, Flore AC, Huddleston CB. Pediatr Transplant, 2017 Mar.21(2), doi: 10.1111/petr.12858. Epub 2016 Dec 9. PMID: 27933693 Similar articles Does Lung Donation by Heart Donors Have an Impact on Survival in Heart Transplant Recipients? Xia Y, Friedmann P, Bello R, Goldstein D, D'Alessandro D. Am J Transplant, 2017 Feb;17(2):506-511, doi: 10.1111/ajt.13981, Epub 2016 Aug 25, PMID: 27457355 Free Article Similar articles Does the UNOS heart transplant allocation system favor men over women? Hsich EM, Starling RC, Blackstone EH, Singh TP, Young JB, Gorodeski EZ, Taylor DO, Schold JD. JACC Heart Fall. 2014 Aug;2(4):347-55. doi: 10.1016/j.ichf.2014.03.008. Epub 2014 Jul 9. PMID: 25023811 Free Article Similar articles Transplant Survival After Berlin Heart EXCOR Support, Bryant R 3rd, Zafar F, Castleberry C, Jefferies JL, Lorts A, Chin C, Morales DL. ASAIO J. 2017 Jan/Feb;63(1):80-85. doi: 10.1097/MAT.00000000000439. PMID: 27680899 Similar articles Contemporary Outcomes of Combined Heart-Liver Transplant in Patients With Conge Disease. Bryant R 3rd, Rizwan R, Zafar F, Shah SA, Chin C, Tweddell JS, Morales DL. Transplantation. 2018 Feb;102(2):e67-e73. doi: 10.1097/TP.000000000001978. PMID: 29077655 Similar articles Maximizing donor allocation: A review of UNOS region 9 donor heart turn-downs.
  - Mancini D, Goldstein D, Taytor S, Chen L, Gass A, DeLair S, Pinney S. Am J Transplant. 2017 Dec;17(12):3193-3198. doi: 10.1111/eji.14499. Epub 2017 Oct 5. PMID: 28808424.
     PMID: 28808424.

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# **UNOS: How to get the data**

# This is probably one of the easier registries to access:

https://optn.transplant.hrsa.gov/data/request-data/

- 1. Fill out the form online
- 2. A CD arrives with the STAR files requested
- 3. Depending on your IRB you may want to secure documentation or approval, here UNOS is exempt

#### Data Request

If you are at an OPTN Member transplant center, OPO or histocompatibility lab, and have access to UNet<sup>SM</sup>, please log in to <u>Secure Enterprise</u> and submit your data request using the UNOS Service Portal.

All fields below are required.

First name	Last name
Email address	Phone number
	010110-000
Profession	
Please select	

Is this request for a STAR file? Yes No

STAR (Standard Transplant Analysis and Research) files are DVDs wolt datasets that contain de-identified patient-level information for transplant recipients and waiting fair conditions back to 101(11947). Use of a STAR file requires knowledge of durabase software capable of manipulating files with thousands of records and humbrids of variables, such as SAS or SPSS, Please note that the size of the STAR files precludes the use of software such as Microwoff Excel and Access.

If you wish to confirm the data you want is available, you can review PDF copies of the data collection forms here: <u>Data Collection Forms</u>

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# **UNOS: What's on the CD?**

#### READ ME.doc

#### PDF Documents

- Deceased\_Donor\_Registration.pdf
- Heart-Adult\_Transplant\_Candidate\_Registration.pdf
- Heart-Lung-Adult\_Transplant\_Candidate\_Registration.pdf
- Heart-Lung-Pediatric\_Transplant\_Candidate\_Registration.pdf
- Heart-Pediatric\_Transplant\_Candidate\_Registration.pdf
- Living\_Donor\_Registration.pdf
- Lung-Adult\_Transplant\_Candidate\_Registration.pdf
- Lung-Pediatric\_Transplant\_Candidate\_Registration.pdf
- 1 Mandatory%20Req%20with%20SSA%20logo.pdf
- Thoracic-Heart-Adult\_Transplant\_Recipient\_Registration.pdf
- 1 Thoracic-Heart-Pediatric\_Transplant\_Recipient\_Registration.pdf
- Thoracic-HeartLung-Adult\_Transplant\_Recipient\_Follow-Up.pdf
- Thoracic-HeartLung-Adult\_Transplant\_Recipient\_Registration.pdf
- Thoracic-HeartLung-Pediatric\_Transplant\_Recipient\_Follow-Up.pdf
- Thoracic-HeartLung-Pediatric\_Transplant\_Recipient\_Registration.pdf
- Thoracic-Lung-Adult\_Transplant\_Recipient\_Registration.pdf
- Thoracic-Lung-Pediatric\_Transplant\_Recipient\_Registration.pdf

#### Spreadsheets

- ALL\_FMTS\_FLATFILE\_key
- THORACIC\_FOL\_PUBLIC\_USE\_INDEX\_092011.xls
- THORACIC\_PUBLIC\_USE\_INDEX\_WL\_092011.xls

#### Developer

- ALL\_FMTS\_FLATFILE\_THFOL.htm
- ALL\_FMTS\_FLATFILE.htm
- THORACIC\_FOL\_PUBLIC\_USE\_FLATFILE.htm
- THORACIC\_PUBLIC\_USE\_FLATFILE.htm

#### ALL\_FMTS\_FLATFILE\_THFOL.DAT

- ALL\_FMTS\_FLATFILE.DAT
- thoracic\_fol\_public\_use\_data.sas7bdat
- THORACIC\_FOL\_PUBLIC\_USE\_FLATFILE.DAT
- thoracic\_public\_use\_data.sas7bdat
- THORACIC\_PUBLIC\_USE\_FLATFILE.DAT

▼Data		
▶ Filename	UNOS FINAL FINAL Dataset.	dta
Label		
Notes		
Variables	668	
Observations	23,056	
Size	67.46M	
Memory	128M	

#### File Sizes:

Take note, in this final cleaned dataset there are over 23,000 observations. Data doesn't start this way...

#### Data Dictionary Etc:

These files will explain how variables are coded and collected

#### **Actual Data Files:**

These are SAS data files. If you don't work in SAS you will need to download and convert the data to SPSS or STATA. https://stattransfer.com/overview/

# **UNOS: A word on data cleaning...**

#### **Data Cleaning to Work with Data**

#### \*Start with the baseline file\*\*

#### \*\*Getting rid of the lung transplants\*\*

tab organ encode organ, gen(organtype) tab organtype drop if organtype==1|organtype==3 tab organtype drop organ

#### \*\*Getting rid of the kids\*\*

tab AGE\_GROUP
encode AGE\_GROUP, gen(agegrp)
drop if agegrp==2|agegrp==3
drop AGE\_GROUP agegrp

#### \*\*To get one line per patient\*\*

sort PT\_CODE retxdate bysort PT\_CODE: gen n=\_n bysort PT\_CODE: gen N=\_N tab n gen retxp=1 if n>1 replace retxp=0 if n==1 tab retxp drop if retxp==1 duplicates report PT\_CODE drop retxp drop n N

#### Variable Creation for your analysis

#### \*\*Gender mismatch\*

gen sexmismatchYN=.
replace sexmismatchYN=1 if sex==1 & sex\_donor==2
replace sexmismatchYN=1 if sex==2 & sex\_donor==1
replace sexmismatchYN=0 if sex==1 & sex\_donor==1
replace sexmismatchYN=0 if sex==2 & sex\_donor==2

#### \*\*\*Calculating GFR\*\*\*

rename CREAT\_TRR creat\_baseline
rename CREAT\_DON creat\_donor
rename MOST\_RCNT\_CREAT creat\_listing

gen unadjustedgfr\_baseline=(175\*(creat\_baseline^(-1.154))\*(age^(-.203)))
gen gfr\_baseline=.
replace gfr\_baseline=unadjustedgfr\_baseline\*1.212\*.742 if blackrace==1 & sex==1

replace gfr\_baseline=unadjustedgfr\_baseline\*1.212\*.42 if blackrace=1 & sex=1 replace gfr\_baseline=unadjustedgfr\_baseline\*1.212 if blackrace=1 & sex=2 replace gfr\_baseline=unadjustedgfr\_baseline\*.742 if blackrace=0 & sex=1 replace gfr\_baseline=unadjustedgfr\_baseline if blackrace=0 & sex=2

gen donorunadjustedgfr=(175\*(creat\_donor^-1.154)\*(AGE\_DON^-.203))
gen donorgfr=.

replace donorgfr=donorunadjustedgfr\*1.212\*.742 if blackrace\_donor==1 & sex\_donor==1 replace donorgfr=donorunadjustedgfr\*1.212 if blackrace\_donor==1 & sex\_donor==2 replace donorgfr=donorunadjustedgfr\*.742 if blackrace\_donor==0 & sex\_donor==1 replace donorgfr=donorunadjustedgfr if blackrace\_donor==0 & sex\_donor==2

gen unadjustedgfr\_listing=(175\*(creat\_listing^-1.154)\*(INIT\_AGE^-.203))
gen gfr\_listing=.

replace gfr\_listing=unadjustedgfr\_listing=1.212\*.742 1f blackrace==1 & sex==1
replace gfr\_listing=unadjustedgfr\_listing=1.212 if blackrace==1 & sex==2
replace gfr\_listing=unadjustedgfr\_listing=.742 1f blackrace==0 & sex==1
replace gfr\_listing=unadjustedgfr\_listing if blackrace==0 & sex==2



# NOW WHAT?

Learn your data Perform your analyses Write your manuscript

Important Notes: Once you have the data, you are on your own You do not need permission to submit a manuscript or abstract You must however cite the data in the methods appropriately

UNIVERSITY

# **UNOS Data: Eval of Renocardiac Syndrome**

# **IF IN DONOR:**



# **THEN in RECIPIENT:**



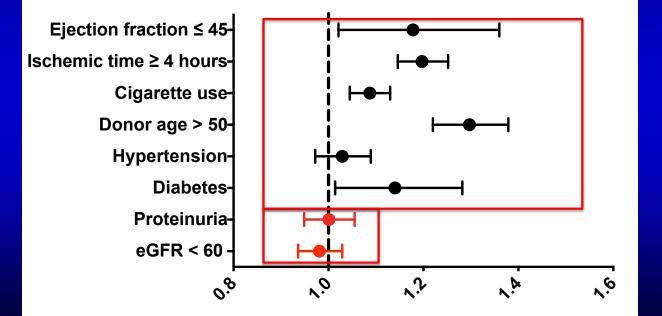


#### Donors with RD have greater disease burden but have similar allograft characteristics

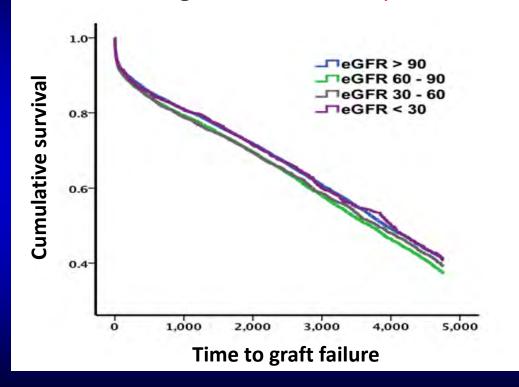
	Overall Cohort	Donor R	) present	P value
Donor characteristics:	N = 35914	No (N = 29736)	Yes (N = 6178)	
Age (mean ± SD), per 10- year increment	31.3 ± 12.4	30.6 ± 12.3	34.6 ± 12.2	<0.001
Female gender (%)	10631 (29.6)	8732 (29.4)	1899 (30.7)	0.03
Black race (%) BMI Diabetes Mellitus Hypertension Cigarette use Serum creatinine, mg/dl Proteinuria	4729 (13.2) 26.5 ± 9.3 811 (2.3) 4380 (12.3) 9815 (27.6) 1.0 ± 0.5 7985 (32.4)	3815 (12.8) 26.2 ± 9.4 595 (2.0) 3151 (10.7) 8050 (27.4) 0.9 ± 0.4 5852 (28.8)	914 (14.8) 27.8 ± 8.5 216 (3.5) 1229 (20.1) 1765 (28.9) 2.0 ± 1.5 2133 (49.4)	<0.001 <0.001 <0.001 <0.001 0.01 <0.001 <0.001
Graft characteristics:				
Allograft ischemic time (hours) Graft LVEF (%)	3.1 ± 1.0 61.6 ± 7.7	3.1 ± 1.0 61.5 ± 7.7	3.2±1.0 61.7 ± 7.6	0.04
		0.10 2 1 1		nor RD – 17.2%



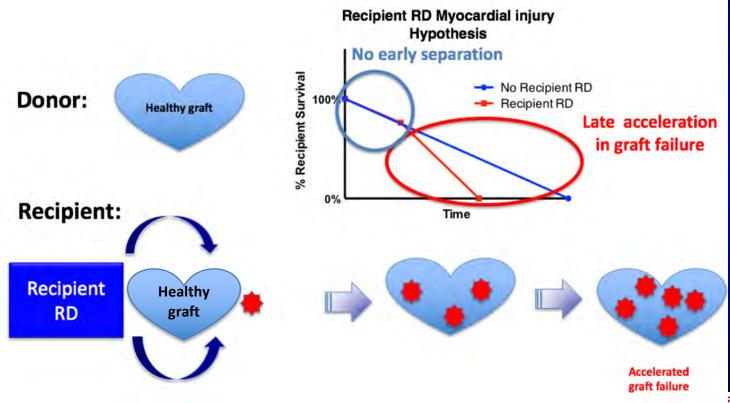
Donor RD and proteinuria are not associated with worsened graft survival on multivariate analysis

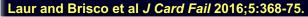


### **Donor RD** - KM graphs stratified by donor eGFR stages: No dose response effect



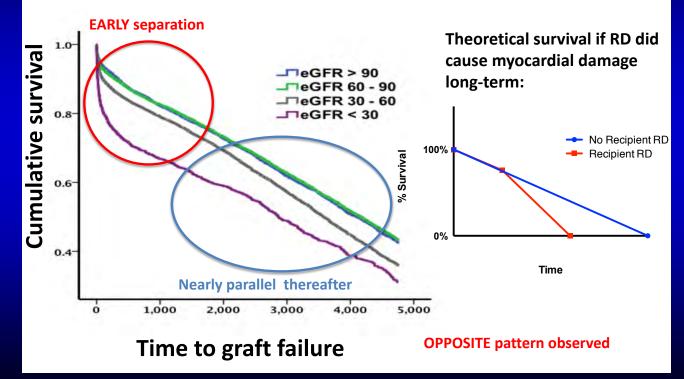
# If prolonged RD in recipients causes myocardial damage, we should see graft failure worsening with time







# Recipient RD – no accelerated graft failure late after transplantation





# What is the impact?

#### **Clinical Investigation**

The Impact of Donor and Recipient Renal Dysfunction on Cardiac Allograft Survival: Insights Into Reno-Cardiac Interactions

OLGA LAUR, MD,<sup>1,0</sup> MEREDITH A. BRISCO, MD, MSCE,<sup>2,0</sup> ALEXANDER J. KULA, BS,<sup>1</sup> SUSAN J. CHENG, MD,<sup>1</sup> ABEEL A. MANGI, MD,<sup>1</sup> LAVANYA BELLUMKONDA, MD,<sup>1</sup> DANIEL L. JACOBY, MD,<sup>1</sup> STEVEN COCA, DO, MS,<sup>1</sup> W.H. WILSON TANG, MD,<sup>3</sup> CHIRAG R. PARIKH, MD, PhD,<sup>1</sup> AND JEFFREY M. TESTANI, MD, MTR<sup>1</sup>

ventricular Assist Device Utilization in Heart Transplant Candidates: Nationwide Variability and Impact on Waitlist Outcomes

Investigator: Veli K. Topkara, MD

Twitter Journal Club: May 3, 2018



Circulation: Heart Failure

#### EMERGING INVESTIGATORS

Ventricular Assist Device Utilization in Heart Transplant Candidates

Nationwide Variability and Impact on Waitlist Outcomes

Editorial

#### Donor Hearts: Time to Look at Them in a Different Light?

GONZALO V. GONZALEZ-STAWINSKI, MD

Dallas, Texas



# **Sponsored Clinical Trial Data: An Overview**

- Industry (with some exceptions) are less likely to "hand over" datasets from their clinical trials:
  - **BAD-Side**: You never know data as well and industry may not be interested in research with a negative statement or outcome
  - **GOOD-Side**: Industry statisticians work directly with you on analyses but they physically do the analytic work.
- It is harder to discover what variables, endpoints etc. a particular clinical trial collected (i.e. you can't look it up on a website), BUT:
  - Looking at the original publications from the trial and methods section will help
- The "way in" to a secondary analysis is by being a good enrolling site in the parent clinical trial
  - If Temple participated, coordinators will have CRFs, your "in" to what was collected



# **Clinical Trial Data: Research Question**

Know the data and what has been published

Look for a "Rationale and Study Design" paper for additional info

Obtain the CRFs from study coordinator to glean more on the data

Formulate your research question

Clinical management of continuous-flow left ventricular assist devices in advanced heart failure.
Slaughter MS, Pagani FD, Rogers JG, Miller LW, Sun B, Russell SD, Starling RC, Chen L, Boyle AJ, Chilloott S, Adamson RM, Blood MS, Camacho MT, Idrissi KA, Petty M, Sobieski M, Wright S, Myers TJ, Farrar DJ; HeartMate II Clinical Investigators. J Heart Lung Transplant. 2010 Apr;29(4 Suppl):S1-39. doi: 10.1016/j.healun.2010.01.011. Epub 2010 Feb 24. PMID: 20181499 Similar articles
Japanese Multicenter Outcomes With the HeartMate II Left Ventricular Assist Device in Patients
With Small Body Surface Area,
Ono M, Sawa Y, Nakatani T, Tominaga R, Matsui Y, Yamazaki K, Saiki Y, Niinami H, Matsumiya G, Arai
H; Japanese HeartMate II Investigators. Circ J. 2016 Aug 25;80(9):1931-6. doi: 10.1253/circj.CJ-16-0203. Epub 2016 Jul 4.
PMID: 27373233 Free Article Similar articles
Right ventricular failure in patients with the HeartMate II continuous-flow left ventricular assist
device: incidence, risk factors, and effect on outcomes,
Kormos RL, Teuteberg JJ, Pagani FD, Russell SD, John R, Miller LW, Massey T, Milano CA, Moazami N, Sundareswaran KS, Farrar DJ; HeartMate II Clinical Investigators.
J Thorac Cardiovasc Surg, 2010 May;139(5):1316-24. doi: 10.1016/j.itcvs.2009.11.020. Epub 2010 Feb 4. PMID: 20132950 Free Article Similar articles
Pre-operative risk factors of bleeding and stroke during left ventricular assist device support: an
analysis of more than 900 HeartMate II outpatients.
Boyle AJ, Jorde UP, Sun B, Park SJ, Milano CA, Frazier OH, Sundareswaran KS, Farrar DJ, Russell
SD; HeartMate II Clinical Investigators.
J Am Coll Cardiol. 2014 Mar 11;63(9):880-8. doi: 10.1016/j.jacc.2013.08.1656. Epub 2013 Dec 11. PMID: 24316083 Free Article

Similar articles

Pump replacement for left ventricular assist device failure can be done safely and is associated with
 low mortality,
 Moazami IV, Milano CA, John R, Sun B, Adamson RM, Pagani FD, Smedira N, Slaughter MS, Farrar

Moazami N, Milano CN, John N, Sun D, Adamson KM, Pagani FD, Smedira N, Slaughter MS, P DJ, Frazier OH; HeartMate II Investigators. Ann Thorac Surg. 2013 Feb;95(2):500-5. doi: 10.1016/j.athoracsur.2012.09.011. Epub 2012 Dec 20. PMID: 2336F114

Similar articles



# **Clinical Trial Data: The Proposal**

- Clinical trials usually have publication committees who ultimately decide which secondary analysis proposals are approved
- You have to complete a research proposal application that your mentor (usually a local PI or subl) must submit
- If Temple didn't enroll well or the sponsor was disappointed in your mentor, <u>**DO NOT**</u> submit an application for a secondary analysis

#### HMII TRIAL HEARTMATE II APPLICATION FORM FOR PROPOSED TOPICS FOR ABSTRACTS and PUBLICATIONS

ABSTRACTS and PUBLICATIONS NAME OF APPLICANTS:	DATE:
Meredith A. Brisco, M.D. Lee R. Goldberg, M.D., MPH	November 9, 2010
CLINICAL SITE:	PHONE: 215-615-0820
Hospital of the University of Pennsylvania	FAX: 215-615-828 E-MAIL: <u>Meredith.Brisco@uphs.upenn.edu</u> Lee.Goldberg@uphs.upenn.edu
ABSTRACT (); PRESENTATION (); IN	VITED SPEAKER ( _ ); MANUSCRIPT ( x ).
FORUM OR TARGET JOURNAL: Circulation or JA	CC or Journal of Heart and Lung Transplantation
ABSTRACT DEADLINE: N/A	PRESENTATION DATE: N/A

#### HEARTMATE II PUBLICATION POLICY

QUESTION TO BE ANSWERED, OR PROPOSED TOPIC or TITLE OF ABSTRACT/MANUSCRIPT: (for multiple topics, indicate your first choice, second choice, etc)

#### FIRST AND ONLY CHOICE

Proposed Title: Atrial and Ventricular Arrhythmias in Patients with HeartMate II Left Ventricular Assist Devices

#### Question to be Answered:

What is the incidence and prevalence of atrial and ventricular arrhythmias in patients with HMII LVADs as both bridge to transplant and destination therapies? What are the clinical predictors for both ventricular and atrial arrhythmias and is their presence associated with differential survival and or quality of life when compared to those patients without arrhythmias?

#### Background

Treatment of advanced heart failure with continuous-flow left ventricular assist devices (LVADs) both as bridge to transplant and destination therapy is associated with improved survival with fewer adverse events as compared to older pulsatile assist devices. [Slaughter 2009, Miller 2007] As the technology continues to improve, the number of patients both exposed to and treated with these advanced therapies is likely to increase. Arrhythmias are a known complication of severe cardiomyopathy and although LVADs unload the myopathic heart, arrhythmias, specifically ventricular, are common in patients with these devices.

# **Clinical Trial Data: The Proposal**

PROPOSED AUTHOR(S) AND YOUR ROLE. IF PROPOSED LEAD AUTHOR, ARE YOU WILLING TO ASSUME RESPONSIBILITY FOR MANUSCRIPT PREPARATION AND COMPLETION?

Meredith A. Brisco (Lead Author): Yes. Under the mentorship of Lee Goldberg, M.D., I will assume responsibility for the manuscript's preparation and completion. My role will be the previously stated responsibility as well as ensuring the statistical analysis is motivated by clinical relevance.

Lee R. Goldberg (Last Author): Trial design and analysis. Supervisor and mentor to Meredith Brisco who will assume co-responsibility for the manuscript's preparation and completion.

PATIENT SUBGROUP(S) (who are the patients to be examined?): Patients with advanced heart failure refractory to optimal medical management, who underwent placement of a HeartMate II continuous-flow LVAD for either bridge to transplant or destination therapy, including patients enrolled after the subsequent initial publications from the trial, are eligible for analysis. Those patients who received an LVAD primarily for incessant ventricular arrhythmias will likely be excluded from the analysis.

**Defining your role and patients:** The sponsor wants to know who is responsible for the project. You cannot "drop the ball" here.

ANALYSIS PLAN (Proposed lead authors must provide types of analyses needed, variables to be analyzed, statistics required, etc)

### **Analysis Plan:**

You won't physically perform the analyses but you need to describe the analyses you desire

#### **Atrial Arrhythmias**

- 1. Calculation of overall incidence of atrial arrhythmias over the available duration of follow-up
- 2. Kaplan-Meier Curve construction in those with and without atrial arrhythmias with comparison using the log-rank test.
- 3. Time to event analysis/Cox Proportional Hazards modeling with the primary endpoint development of atrial arrhythmias. Predictor variables of particular interest will include essential demographics (sex, age, beta blocker use, amiodarone use) as well as type of cardiomyopathy, bridge or destination device, preoperative afib, atrial flutter or atrial tachycardia, concomitant presence of sleep apnea if known.
- 5. Of those patients with AE forms filled out and submitted, report the percentage of atrial events out of all AE arrhythmia events.
- 6. Comparison of QOL scores in those patients with and without atrial arrhythmias.
- 7. Comparison of categorical variables of interest between those with and without atrial arrhythmias using Fisher's exact test.
- 8. Comparison of continuous variables of interest between those with and without atrial arrhythmias using Student's t test.

This application is an opportunity to make an impression. You never know who might see it on the committee.



# What to expect if application approved

- You will work with a statistician and other members of an analytic team assigned to your proposal, likely via teleconference
- Powerpoints (or other media) of figures and results of your analysis will be provided
- It is a partnership and a back in forth is usually involved
- Once it is time for manuscript preparation, they usually write the methods and create the tables and figures but you will handle the rest
- The sponsor/team must approve the manuscript before submission
- Don't be surprised if other authors are "added"

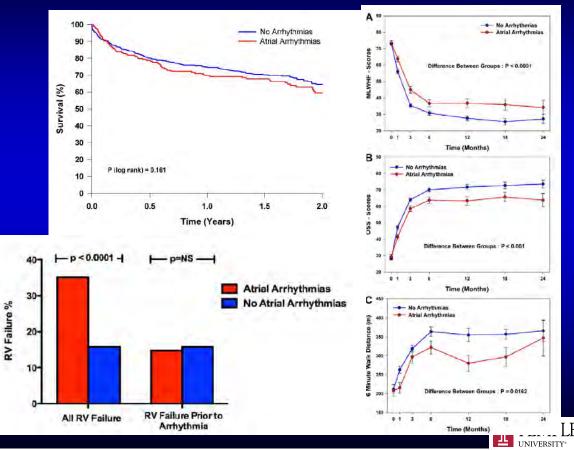


# **Clinical Trial Data: Atrial Arrhythmias in LVAD Patients**

The incidence of AAs after CF-LVAD was unknown, as was their clinical impact

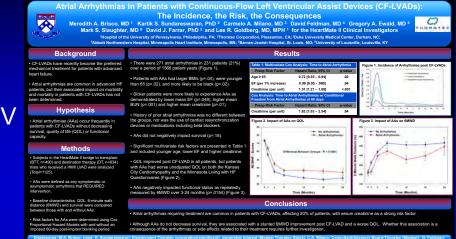
In 1125 patients from the original HM2 trial we performed a comprehensive analysis

AAs after CF-LVAD do not impact survival but do affect quality of life and functional status Brisco et al J Card Surg 2014



# What is the impact?

- This was my first lead author poster presentation at AHA
- We ultimately went on to publish our manuscript which strengthened my CV in fellowship
- Relationships formed led to my recruitment into EMERG



The Incidence, Risk, and Consequences of Atrial Arrhythmias in Patients with Continuous-Flow Left Ventricular Assist Devices

Meredith A. Brisco, M.D., M.S.C.E.,\* Kartik S. Sundareswaran, Ph.D., $\dagger$ Carmelo A. Milano, M.D., $\ddagger$  David Feldman, M.D.,§ Jeffrey M. Testani, M.D., M.T.R.,¶ Gregory A. Ewald, M.D., $\parallel$  Mark S. Slaughter, M.D.,# David J. Farrar, Ph.D., $\dagger$ Lee R. Goldberg, M.D., M.P.H., $\perp$  for the HeartMate II Clinical Investigators Secondary analyses of clinical trials like this one are published every week



# **Final Thoughts...**

Analyses of registry data, claims data or clinical trial data are relevant and valuable research endeavors with their own unique advantages and limitations

Do your homework and know your data

- Regardless of the type of research you pursue, knowledge of statistics and/or statistical software is an invaluable tool





